



COPY OF PAPERS  
ORIGINALLY FILED

2815

Please type a plus sign (+) inside this box → [ + ]

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/801,311	
	Filing Date	March 13, 2001	
	First Named Inventor	Yasuhiro SHIMADA et al.	
	Group Art Unit	2815	
	Examiner Name	E. J. Wojchechowicz	
Total Number of Pages in This Submission		Attorney Docket Number	740819-520

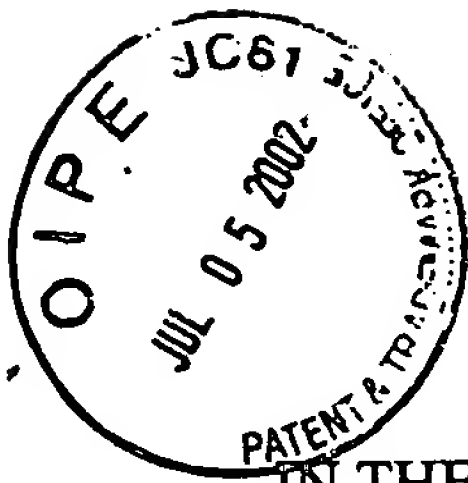
ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Other
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 9-2380 for the above identified docket number.

RECEIVED  
SEP 16 2002  
TECHNOLOGY CENTER 2800  
JUL 11 2002  
TC 2800 MAIL ROOM

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Donald R. Studebaker, Reg. No. 32,815 Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102
Signature	
Date	July 1, 2002

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; padding: 2px;">July 1, 2002</span>		
Type or printed name	Gwyn J. Cantrell	
Signature		Date July 1, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS  
ORIGINALLY FILED

Docket No. 740819-520

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yasuhiro SHIMADA et al.

Application Serial No. 09/804,311

Filed: March 13, 2001

For: SEMICONDUCTOR DEVICE AND  
METHOD FOR FABRICATING THE  
SAME

) Group Art Unit: 2815

) Examiner: E. J. Wojchechowicz

) CERTIFICATE OF MAILING

I hereby certify that this correspondence is being  
deposited with the United States Postal Service with  
sufficient postage as First Class Mail in an envelope  
addressed to: Assistant Commissioner for Patents,  
Washington, D.C. 20231, on JULY 1, 2002

) Gwyn J. Cantrell  
Gwyn J. Cantrell

AMENDMENT

Honorable Commissioner of Patents  
Washington, D.C. 20231

RECEIVED

JUL 24 2002

GROUP 3600

TECHNOLOGY CENTER  
SEP 16 2002  
RECEIVED  
JUL 11 2002  
RECEIVED  
JUL 11 2002  
MAIL ROOM

Dear Sir:

In response to the Examiner's non-final Office Action mailed April 1, 2002, please consider the following amendments and remarks in connection with the above-identified application.

IN THE CLAIMS:

Please cancel claim 5 in its entirety without prejudice or disclaimer to the subject matter recited therein.

Please amend claims 1, 6 and 7 as follows. Claims 1, 6 and 7 are presented below in their amended form. The amendments to the above-noted claims are outlined in an Attachment to the Amendment using the conventional indication method of bracketing and underlining.

1. (Amended) A semiconductor device comprising:
- a semiconductor substrate;
- an MISFET, which is provided on the semiconductor substrate and includes a gate insulating film, a gate electrode and source/drain regions;